# Verbal processes in student academic writing in Spanish from a systemic functional perspective<sup>1</sup>

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The aim of this paper is to present a systemic functional analysis of verbal processes in student texts. This work is part of an on-going research project developed at the National Autonomous University of Mexico. The paper is based on literature texts belonging to two genres: question-answer and essay. First, I define the group of verbal processes and determine their frequency. Then I explore the context of their use and identify the participants in the verbal clauses. Finally, I compare the two groups of texts according to their verbal process characteristics.

Keywords: text, transitivity, process, participant, projection.

# Procesos verbales en la escritura académica estudiantil en español desde una perspectiva sistémico funcional

El objetivo de este artículo es presentar un análisis sistémico funcional de procesos verbales en los textos estudiantiles. El trabajo forma parte de un proyecto de investigación en curso desarrollado en la Universidad Nacional Autónoma de México. El artículo está basado en los textos de literatura que pertenecen a dos géneros: pregunta-respuesta y ensayo. Voy a definir el grupo de los procesos verbales y determinar su frecuencia; después exploraré el contexto de su uso e identificaré los participantes en las cláusulas verbales. Finalmente, se comparan los dos grupos de textos de acuerdo con sus características de procesos verbales.

Palabras clave: texto, transitividad, proceso, participante, proyección.

<sup>1</sup> The project **Verbal processes in academic writing in the light of systemic functional grammar** was discussed and approved by the Academic Counsel of the Department of Applied Linguistics (Foreign Language Centre) in 2010. The project is being carried out with the resources of this Department and it is planned to be finished by 2013. The participants are Natalia Ignatieva (coordinator), Victoria Zamudio, Eleonora Filice, Daniel Rodríguez and Luz Elena Herrero.

# Processus verbaux concernant l'écriture académique d'étudiants en espagnol depuis la perspective systémique fonctionnelle

L'objectif de cet article est de présenter une analyse systémique fonctionnelle des processus verbaux dans les textes d'étudiants. Ce travail fait partie d'un projet de recherche en cours développé à l'Université Nationale Autonome du Mexique. Cet article est fondé sur des textes qui appartiennent à deux genres: question-réponse et essais. Je vais définir le groupe des processus verbaux et en déterminer leur fréquence; ensuite, je vais explorer leur contexte d'utilisation et identifier les participants dans les phrases verbales. Finalement, on va comparer les deux groupes de textes d'après leurs caractéristiques en tant que processus verbaux.

**Mots clés**: texte, transitivité, procès, participant, projection.

#### INTRODUCTION

The aim of this paper is to present a systemic functional analysis of verbal processes in student academic writing. This work is part of an ongoing research project developed at the National Autonomous University of Mexico which, in turn, is part of the major project *Systemics Across Languages (SAL)* in its Latin American version<sup>2</sup>. The corpus is taken from our previous project which investigated the language of humanities in Mexico and the United States<sup>3</sup>. One of its purposes was to collect student texts from humanistic areas to design a web page which includes the *Corpus of Academic Language in Spanish* (CLAE, 2009). In this paper I use the Mexican component of the *CLAE* corpus which embraces three areas: literature, history and geography. This paper is based on literature texts belonging to two genres.

First, I will define the group of verbal processes derived from the corpus and determine their frequency in relation to the number of clauses. Then I will explore the context of their use and identify the participants in the verbal clauses. Finally, the two groups of texts will be compared

<sup>2</sup> Although various individual and collective projects entering SAL are under way in several countries (Argentina, Brazil and Mexico), there is not much published work yet. The only reference I could find is an article by Barbara and Macêdo (2011) based on the analysis of Portuguese verbal processes which has just been published.

<sup>3</sup> The project The Language of the Humanities in Mexico and the United States: A Systemic Functional Analysis (2006-10) was carried out thanks to a Collaborative Grant from the University of California Institute for Mexico and the United States (UC MEXUS) and the National Counsel of Mexico for Science and Technology (CONACYT).

according to their verbal process characteristics and conclusions are drawn.

#### THEORETICAL BASES

The theory underlying this study is Systemic Functional Linguistics originated by Halliday (1978, 1985, 1994) and developed by other exponents of this trend (Martin, 1985, 1992; Martin & Rose, 2003; Matthiessen, 1995; Thompson, 1996; Ghio & Fernández, 2005; Montemayor-Borsinger, 2009; etc.). One of the principal ideas of this theory is to consider language as a system of subsystems based on their functions derived from the use of the language in its social context.

According to Halliday (2004), language is structured to fulfill three main functions which he denominates metafunctions; these are ideational, interpersonal and textual functions. The ideational function organizes our experience in the external and interior world, the interpersonal expresses our interaction with others, and the textual function contextualizes linguistic units and organizes them into a discourse.

These three functions correspond to three systems at the lexico-grammatical level: Transitivity, Mood and Theme, and in this way the system of Transitivity is the realization of the ideational function, while the system of Mood reflects the interpersonal function and the system of Theme represents the textual function. Thus, in terms of meaning potential, "transitivity is the ideational component in the meaning of the clauses" (Halliday, 1976: 21).

Transitivity is defined as the system that concerns different combinations of participants organized around a process in the clause. It refers to "a way of representing patterns of experience (...) of imposing order on the endless variation and flow of events (Halliday, 1994: 106). In other words, it is the organization of the sentence to express experiential meanings.

The transitivity system construes the world of experience into a set of process types. Halliday first divided processes into three types: "material", "mental" and "relational" (Halliday, 1968), then he added three more types: "verbal", "behavioural" and "existential" (1985), but he considered the original three as the main types while the second group of three was thought of as "borderline" cases. Thus, the category

of "verbal" processes is situated on the borderline between "mental" and "relational" processes because a symbolic relationship is constructed in human consciousness and enacted in the form of language like saying, according to Halliday (2004: 171). Matthiessen (1995), however, extended these main types to four (material, mental, relational and verbal). He included verbal processes in this group arguing that verbal processes have their own characteristic traits that set them apart from the other process types. We shall follow Matthiessen's point of view.

Each process type provides its own model or schema for construing a particular domain of experience (Halliday, 2004: 170). This schema concerns the roles of the participants of the clauses with a particular type of process. For example, material processes usually imply the existence of an Actor as the first participant and a Goal as the second participant (e. g. *Bill smashed the glass*). Verbal processes have their own schema for construing the clause (Lavid, Arús & Zamorano-Mansilla, 2010: 135-7).

#### **METHODOLOGY**

As already mentioned, I used the *CLAE* corpus, i. e. student texts collected at the Faculty of Philosophy and Arts, of the National Autonomous University of Mexico. This paper is based only on literature texts, from the Department of Modern Languages and Literature. There are 22 of them, belonging to two genres: question-answer (15) and essay (7). The first genre is part of a question-answer exam written in class while the second is part of a term assignment prepared out of class. I will describe the two genres separately and then compare them.

Both quantitative and qualitative analysis will be applied to our corpus: quantitatively I will determine the numerical values for verbal processes and qualitatively I will try to define the lexico-semantic group of verbs of saying and explore the context in which they are used.

#### **TEXT ANALYSIS**

## Question-answer texts (Q-A texts)

We shall begin our analysis by considering student texts of a question-answer type<sup>4</sup>, written in class as part of the term examination. There are fifteen texts and their main topic is the novel *Don Quijote* by Cervantes.

First, I counted the number of clauses in each text in order to have it as a point of reference. Only finite clauses<sup>5</sup> were taken into consideration, i.e. I did not count non-finite clauses considering them as parts of finite clauses. Then I looked for all instances of verbal processes in the texts and summed them up in order to have a total number of verbal processes. The results can be found in Table 1.

Table 1. Number of clauses and verbal processes in Q-A texts

|       | 1  | 2  | 3  | 4  | 5    | 6  | 7  | 8  | 9  | 10 | 11 | 12 | 13 | 14  | 15 | Total |
|-------|----|----|----|----|------|----|----|----|----|----|----|----|----|-----|----|-------|
| Clau- |    |    |    |    |      |    |    |    |    |    |    |    |    |     |    |       |
| ses   | 23 | 39 | 58 | 14 | 27   | 29 | 13 | 27 | 33 | 31 | 27 | 26 | 36 | 30  | 14 | 427   |
| Verb. |    |    |    |    |      |    |    |    |    |    |    |    |    |     |    |       |
| proc. | 6  | 14 | 21 | 2  | 15   | 9  | 3  | 3  | 6  | 4  | 7  | 7  | 8  | 2   | 1  | 108   |
| %     |    |    |    |    |      |    |    |    |    |    |    |    |    |     |    |       |
| VP    | 26 | 36 | 36 | 14 | 55.5 | 31 | 23 | 11 | 18 | 13 | 26 | 27 | 22 | 6.7 | 7  | 25.3  |

We can see that the total number of clauses is 427 and there are 108 cases of verbal processes, which seems a rather large number. It amounts to 25.3% in relation to the total number of clauses.

Then, I tried to determine a lexicosemantic group of words that denote the process of "saying". The resulting data can be found in Table 2, Table 11 and Figure 1. Table 2 includes only the verbs used more than once in Q-A texts.

<sup>4</sup> The questions in the exam were open, e. g. Describe la relación de Don Quijote con Dulcinea "Describe the relationship of Don Quijote and Dulcinea" or Habla del encantamiento de Dulcinea "Speak about Dulcinea's enchantment", etc.

<sup>5</sup> Finite clauses are those that contain a finite verbal element expressing tense or modality (Halliday 2004: 111) while non-finite clauses contain no finite element but they have other verbal forms such as infinitives, gerunds or participles.

Table 2. The most frequent verbs of saying in the Q-A texts

|            |   |   |   |   |   |   |   | , . | , |    | -  |    |    |    |    |       |
|------------|---|---|---|---|---|---|---|-----|---|----|----|----|----|----|----|-------|
|            | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8   | 9 | 10 | 11 | 12 | 13 | 14 | 15 | Total |
| decir      | 2 | 6 | 1 | 1 |   | 1 | 1 | 1   |   | 1  | 2  | 3  |    | 1  |    | 20    |
| contar     | 1 |   |   | 1 |   | 3 |   |     | 2 |    | 1  |    |    |    |    | 8     |
| pedir      | 1 | 1 |   |   |   | 1 |   | 2   | 1 |    |    |    |    |    |    | 6     |
| afirmar    |   |   | 1 |   |   | 1 | 1 |     | 1 |    |    |    | 1  |    |    | 5     |
| explicar   |   |   | 2 |   |   |   |   |     | 1 |    |    |    | 2  |    |    | 5     |
| hablar     |   |   |   |   |   |   |   |     | 1 |    |    | 1  | 2  |    |    | 4     |
| defender   |   |   | 1 |   | 1 |   |   |     |   |    |    |    | 2  |    |    | 4     |
| preguntar  | 1 | 1 |   |   |   | 1 |   |     |   |    |    |    |    |    |    | 3     |
| justificar |   | 3 |   |   |   |   |   |     |   |    |    |    |    |    |    | 3     |
| comparar   |   | 2 |   |   | 1 |   |   |     |   |    |    |    |    |    |    | 3     |
| mencionar  |   |   | 1 |   |   |   |   |     |   | 2  |    |    |    |    |    | 3     |
| resaltar   |   |   |   |   | 3 |   |   |     |   |    |    |    |    |    |    | 3     |
| responder  |   | 1 |   |   |   |   |   |     |   | 1  |    |    |    |    |    | 2     |
| exponer    |   |   | 1 |   | 1 |   |   |     |   |    |    |    |    |    |    | 2     |
| alegar     |   |   | 2 |   |   |   |   |     |   |    |    |    |    |    |    | 2     |
| advertir   |   |   | 1 |   |   | 1 |   |     |   |    |    |    |    |    |    | 2     |
| argumentar |   |   | 1 |   | 1 |   |   |     |   |    |    |    |    |    |    | 2     |
| discutir   |   |   | 1 |   |   |   |   |     |   |    | 1  |    |    |    |    | 2     |
|            |   |   |   |   |   |   |   |     |   |    |    |    |    |    |    |       |

The first row in Table 2 presents the fifteen texts while the first column provides the verbs of the texts in decreasing order of frequency, from the most frequent to those that were used more than once. As it may be expected, the first place is occupied by the verb *decir* "say" with 20 uses which confirms its unmarked character in the group. The second most frequent verb is *contar* "tell" with 8 uses, and the third is *pedir* "ask for" with 6 uses. All in all, Table 2 includes 18 different verbs.

Table 11 (See Appendix) shows other verbs from the same group used only once in the Q-A texts, among them verbs like *mentir* "lie", *repetir* "repeat", *reprochar* "reproach", etc. There are 19 verbs in this Table. If we sum up the verbs in Table 2 and Table X we have 37 verbs in the group of "saying" which are represented in Figure 1.

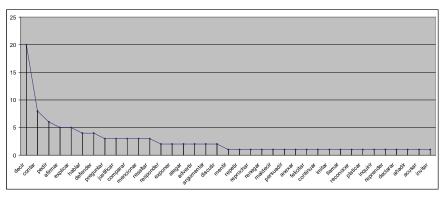


Figure 1. Verbs of saying in Q-A texts

Besides verbs, I singled out verbal expressions which denote verbal processes. The peculiarity of these verbal expressions or phrases is that one member of this phrase is partially "empty" (from the semantic point of view); therefore, it needs a complement to transmit its meaning, for example: *hacer el discurso* "deliver a speech", etc.

The whole list of the verbal expressions used in Q-A texts is as follows:

- hacer el discurso (3)<sup>6</sup> " deliver a speech"
- situar en el discurso (3) "accomodate in a discourse"
- hacer énfasis (5) "make emphasis"
- dar el discurso (5) "give a discourse"
- *dar voces* (5) "give voice"
- lanzar una advertencia (5), "send a warning"
- manifestar el deseo (5) "manifest a desire"
- hacer referencia (7) "make reference"

Thus, the lexicosemantic group denoting the process of "saying" in our corpus of Q-A texts consists of 45, including 37 verbs and 8 verbal expressions. The dominant member of this group is *par excellence* the verb *decir* "say".

<sup>6</sup> The number in brackets indicates the text where the verbal expression is used.

#### **ESSAY TEXTS (E TEXTS)**

Now I shall analyze the texts belonging to the essay genre. These are texts prepared and written out of class as part of a term assignment. As mentioned above, there are seven of them. These texts are larger than the Q-A texts and although they are fewer in number, they contain more clauses, as Table 3 shows.

Table 3. Number of clauses and verbal processes in E texts

|        |       |       |       | •    |     |      |      |       |
|--------|-------|-------|-------|------|-----|------|------|-------|
|        | 1     | 2     | 3     | 4    | 5   | 6    | 7    | Total |
| Clau-  |       |       |       |      |     |      |      |       |
| ses    | 72    | 115   | 112   | 77   | 269 | 85   | 170  | 900   |
| Verbal |       |       |       |      |     |      |      |       |
| proc.  | 10    | 23    | 16    | 4    | 27  | 6    | 16   | 102   |
| %      | •     | •     |       | •    | •   | •    |      |       |
| VP     | 13.88 | 19.99 | 14.28 | 5.19 | 10  | 7.05 | 9.41 | 11.3  |

As in Table 1, the first row in Table 3 indicates the texts, the second one gives the number of clauses, while the third one provides the number of verbal processes for each text. We can see that the total number of clauses is 900 which is more than twice the Q-A clauses. Nevertheless, the number of verbal processes is fewer than in Q-A texts (102 vs. 108) which results in 11.2% of these processes in relation to the number of clauses.

I shall explore now the lexical group of verbs of "saying" used in the essays and I proceed the same way it was done with the Q-A texts.

**Table 4.** The most frequent verbs of "saying" in E texts

|            | 1 | 2 | 3 | 4 | 5 | 6 | 7 | Total |
|------------|---|---|---|---|---|---|---|-------|
| decir      | 7 | 1 | 2 | 1 | 8 | 1 | 3 | 23    |
| hablar     |   |   |   | 1 | 4 |   | 1 | 6     |
| contar     |   |   | 1 | 1 | 2 |   | 1 | 5     |
| mencionar  | 1 | 2 |   |   |   |   | 2 | 5     |
| pedir      |   | 1 | 1 |   |   | 1 |   | 3     |
| responder  |   | 2 |   |   |   |   | 1 | 3     |
| negar(se)  |   | 1 | 1 |   | 1 |   |   | 3     |
| llamar     |   | 1 |   |   | 1 |   | 1 | 3     |
| explicar   |   |   | 2 |   |   |   | 1 | 3     |
| relatar    | 1 |   | 1 |   |   |   |   | 2     |
| describir  |   | 1 |   |   | 1 |   |   | 2     |
| afirmar    |   | 1 |   |   | 1 |   |   | 2     |
| cuestionar |   | 1 |   |   |   |   | 1 | 2     |

Table 4 presents the subgroup within this lexical group consisting of 13 verbs which were used more than once. We can notice that the most frequent verb in this group is *decir* "say", with 23 uses. Its frequency is almost four times greater than the following verb. The second place in frequency is occupied by the verb *hablar* "speak" with six uses and in the third place there are two verbs: *contar* "tell" and *mencionar* "mention" with five uses each.

Another subgroup includes 22 verbs, all of which were used only once in the essay type texts (See Appendix, Table 12). The two subgroups (Table 4 and Table 12), together give us an account of 35 verbs which are presented in Figure 2.

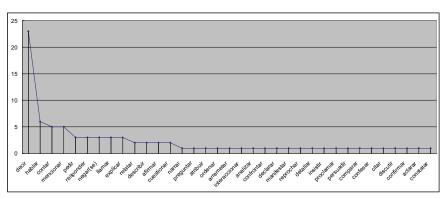


Figure 2. Verbs of saying used in E texts

As for the verbal expressions or phrases which denote verbal processes, we have the following list for E texts:

- hacer notar (2) "make it clear"
- *llegar a la conclusión* (2) "arrive at the conclusion"
- hacer una petición (3) "make a petition"
- hacer hincapié (3, 5) "lay stress"
- *dar razones* (3, 5) "give reasons"
- dirigirse con las palabras (3) "direct one's words"
- hacer mención (5) "make mention"
- revelar secretos (5), "reveal secrets"
- *dar gracias* (5) "give thanks"
- *comenzar el relato* (5) "begin a story"

- vaticinar hechos (5) "foretell facts"
- pedir perdón (5) "ask somebody's pardon"
- hacer una exaltación (6) "make an exclamation"
- *tocar temas* (7) "touch topics".

The number of different verbal expressions is 15. Thus, the lexicosemantic group of saying for E texts consists of 50 members: 35 verbs and 15 verbal expressions.

# Participants in the verbal clauses

The most important participant in verbal clauses is the Sayer (Halliday, 2004: 252), in fact, it is the only necessary participant in the act of saying or speaking, e.g.:

- (1) Marcela expone las razones...(Q-A 3)
  - "Marcela exposes the reasons"
- (2) La novela dice que es bella. (E 1)
  - "The novel says she is beautiful".
- In (1) *Marcela* is the Sayer. As can be seen in (2), the Sayer sometimes may be a non human participant. Another important participant is the Receiver which is usually present in the clause and is typically human like *nos* in (3) or *le...Lotario* in (4):
  - (3) ...la voz **nos** cuenta de un viaje. (Q-A 9)
    - "...the voice tells us about a journey".
  - (4) Anselmo le hace a Lotario una impertinente petición. (E 3)
    - "Anselmo makes Lotario an impertinent petition".

In certain cases, and only with some verbs, the verbal process may be directed at still another participant: the Target. In this situation some entity is "targeted by the process of saying" (Halliday, 2004: 256), e.g.:

- (5) Don Quijote **lo** felicita en su decisión. (Q-A 5)
  - "Don Quijote congratulates him on his decision".
- (6) *Montesinos compara a Dulcinea con Belerma*. (E 6) "Montesinos compares Dulcinea with Belerma".
- 1

Both the Receiver and the Target are usually expressed by a clitic and/or a prepositional phrase with the preposition a, as can be seen in the examples above (3-6).

The other kind of participant that may appear in a verbal clause refers to the message itself. This is called the Verbiage and is usually expressed by a noun group, e.g.:

- (7) ... él es capaz de mencionar todos los nombres. (Q-A 10)
  - "...he is capable of mentioning all the names".
- (8) ... le han contado las mentiras. (E 5)
  - "...he was told the lies".

The Verbiage may express the content of what is said as in (7) or it may consist of a name for the language itself as in (8). Closely related to the Verbiage is a category of circumstance called Matter. It refers to the content of the message when it is expressed by a prepositional phrase, e.g.:

- (9) Don Quijote habla sobre su ocupación. (Q-A 13)
  - "Don Quijote speaks of his occupation".
- (10) Unamuno hablaba **del fenómeno.** (E 4)
  - "Unamuno spoke of the phenomenon".

Among the participants analyzed above only the Sayer and the Target are considered to be "direct" by Halliday while the Reciever, the Verbiage and the Matter are "oblique" participants.

Another way of transmitting what is said is represented by means of direct or indirect speech. In (11) we deal with two clauses, only the primary clause is a verbal one, the next one is a reported clause, the relationship between them being hypotactic. In (12) there is also a clause complex but this time with a paratactic relation. Besides, the second clause is now a quoted one.

- (11) Sancho le dice que es por encantamiento. (Q-A 12)
  - "Sancho tells him it is for enchantment".
- (12) Cervantes nos dice "En resolución, él se enfrascó..." (E 5)
  - "Cervantes tells us "In resolution, he sank...".

The content of the message is called now a "locution" (direct or indirect), but it is not analyzed as a participant in the verbal process because it is found outside the verbal clause. When the message is expressed through reported speech, the logico-semantic relation between a primary and a secondary member of a clause nexus is called "projection" in functional grammar (Halliday, 2004: 376). This relationship between the two members is like the one "between a picture (projected clause) and its frame (projecting clause): together they make up a single complex unit, but neither is actually part of the other" (Thompson, 2004: 103).

To sum up, I have tried to analyze the corpus in terms of participants and I added "the locution" to my analysis because although the locution is syntactically outside the main clause, it has strong semantic ties with the verbal process and some authors even insist on treating it as some sort of "Verbiage".

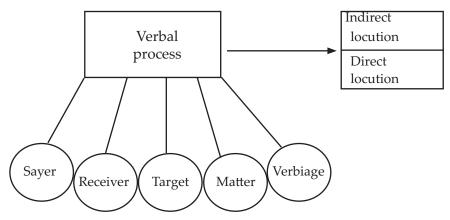


Figure 3. The components of the participant and projection analysis

Figure 3 shows all the components of our analysis which fall into three parts: processes, participants in the verbal clause, and locutions (projections).

## Participant and projection analysis in the verbal clauses in Q-A texts

I applied the analysis summarized in Scheme 1 to all the texts of Q-A type. I shall present Text 1 in Table 5 as an illustration of our analysis. Only the clauses with verbal processes were included in the Table.

Table 5. Participant and projection analysis (PPA) in Text 1 (Q-A)]

|            | _                |          | ,      | -      |                   |   |                    |   |
|------------|------------------|----------|--------|--------|-------------------|---|--------------------|---|
| Sayer      | Process          | Receiver | Target | Matter | Verbiage          | Indirect<br>locution  | Direct<br>locution |   |
|            | pregunta-<br>ron | le       |        |        |                   | que quién<br>era y a<br>dónde se<br>dirigía                                       |                    | 1 |
| ella       | contó            | les      |        |        | su des-<br>gracia |   |                    | 2 |
| el<br>cura | dijo             | les      |        |        |                   | que era la<br>princesa<br>Micomicona<br>y que venía<br>en busca de<br>Don Quijote |                    | 3 |
|            | para pedir       | le       |        |        | ayuda             |   |                    | 4 |
|            | mintieron        | le       |        |        |                   |   |                    | 5 |
|            | al decir         | le       |        |        |                   | que era la<br>princesa<br>Micomicona  |                    | 6 |
| 2          | 6                | 6        | 0      | 0      | 2                 | 3   | 0                  |   |

In Table 5 it can be seen that Text 1 (Q-A) has 6 clauses with verbal processes (see the last column of the Table). The Sayer is expressed only in two clauses, the rest of the clauses either have an implicit Sayer or it is unknown. As for the Reciever, it is realized in all the clauses. On the contrary, the Target and the Matter are manifested in none of them. The Verbiage is expressed in two clauses and we have three cases of indirect locutions where the verbal clause is followed by a projected clause containing indirect speech.

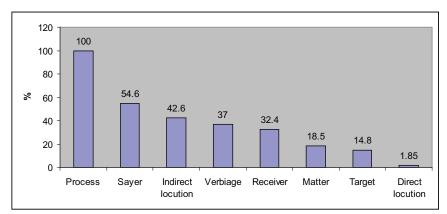
Table 6 presents a summary of the participant and projection analysis in all the texts of the Q-A type.

**Table 6.** Summary of the participant and projection analysis in Q-A texts

| Texts | Sayer | Process | Receiver | Target | Matter | Verbiage | Indirect locution | Direct locution |
|-------|-------|---------|----------|--------|--------|----------|-------------------|-----------------|
| 1     | 2     | 6       | 6        | 0      | 0      | 2        | 3                 | 0               |
| 2     | 9     | 14      | 3        | 3      | 2      | 3        | 7                 | 0               |
| 3     | 9     | 21      | 2        | 5      | 5      | 5        | 12                | 0               |
| 4     | 1     | 2       | 1        | 0      | 0      | 1        | 1                 | 0               |
| 5     | 4     | 15      | 1        | 4      | 5      | 7        | 6                 | 0               |
| 6     | 6     | 9       | 4        | 0      | 1      | 3        | 5                 | 0               |
| 7     | 2     | 3       | 1        | 0      | 1      | 1        | 2                 | 0               |
| 8     | 2     | 3       | 2        | 0      | 0      | 2        | 1                 | 0               |
| 9     | 3     | 6       | 5        | 0      | 2      | 3        | 1                 | 0               |

| 10    | 3    | 4   | 1    | 0    | 0    | 4  | 0    | 0    |
|-------|------|-----|------|------|------|----|------|------|
| 11    | 2    | 7   | 3    | 1    | 1    | 4  | 2    | 2    |
| 12    | 6    | 7   | 5    | 1    | 0    | 0  | 3    | 0    |
| 13    | 7    | 8   | 0    | 2    | 3    | 4  | 1    | 0    |
| 14    | 2    | 2   | 1    | 0    | 0    | 1  | 1    | 0    |
| 15    | 1    | 1   | 0    | 0    | 0    | 0  | 1    | 0    |
| Total | 59   | 108 | 35   | 16   | 20   | 40 | 46   | 2    |
| %     | 54.6 | 100 | 32.4 | 14.8 | 18.5 | 37 | 42.6 | 1.85 |

The average percentage values for the participant and projection analysis appear in Figure 4. These values are organized in decreasing order.



**Figure 4.** Average percentage values for the PPA in Q-A texts **Participant and projection analysis in the verbal clauses in E texts** 

Table 7 shows the analysis of participants and other components in E texts, namely, Text 1.

**Table 7.** Participant and projection analysis in Text 1 (E)

| Sayer    | Process   | Receiver Ta            | rget | Matter | Verbiage | Indirect<br>locution                   | Direct<br>locution                |   |
|----------|-----------|------------------------|------|--------|----------|--|-----------------------------------|---|
| Sancho   | (no) diga |                        |      |        | lo       | que su<br>esposa<br>no es<br>agraciada |                                   | 1 |
| (Sancho) | dice      | le a<br>Don<br>Quijote |      |        |          |  | Porque<br>tengo<br>para mí<br>que | 2 |

| 6                 | mencione<br>10   | 4                      | 2  | lo<br>4                        | 4  | 3  | 10 |
|-------------------|------------------|------------------------|--|--------------------------------|--|--|----|
| la novela         | dice             |                        |  | 1-                             | que es<br>bella                            | Una<br>doncella<br>principal<br>y<br>hermosa<br>de la<br>misma<br>ciudad | 9  |
|                   | narrada          |                        | dentro<br>del libro                                      | (otra<br>mujer<br>bella)       |  |  | 8  |
|                   | esta<br>relatada |                        | en la<br>novela<br>del<br>"Curioso<br>imperti-<br>nente" | otra<br>mujer<br>bella         |  |  | 7  |
| Cardenio<br>mismo | dice             |                        |  |                                | que<br>Dorotea<br>es bella                 |  | 6  |
| Sancho            | dice             | le a<br>Don<br>Quijote |  | lo equi-<br>vocado<br>que esta |  |  | 5  |
| Sancho            | dice             | a Don<br>Quijote       |  |                                |  | Y sé<br>decir<br>que tira<br>bien una<br>barra                           | 4  |
| Sancho            | dice             | le a<br>Don<br>Quijote |  |                                | que su<br>mujer, no<br>podría ser<br>reina |  | 3  |

The summary of the data analysis applied to all the texts of the essay type can be observed in Table 8.

**Table 8.** Summary of the PPA in E texts

| Texts | Sayer | Process | Receiver | Target | Matter | Verbiage |   | Direct<br>locution |
|-------|-------|---------|----------|--------|--------|----------|---|--------------------|
| 1     | 6     | 10      | 4        | 2      | 4      | 4        | 3 |                    |
| 2     | 13    | 23      | 10       | 2      | 5      | 7        | 7 | 3                  |
| 3     | 9     | 16      | 5        | 0      | 5      | 5        | 4 | 3                  |
| 4     | 2     | 4       | 2        | 0      | 1      | 0        | 0 | 2                  |
| 5     | 7     | 27      | 16       | 0      | 8      | 10       | 8 | 4                  |
| 6     | 2     | 5       | 2        | 2      | 1      | 2        | 1 | 1                  |
| 7     | 6     | 16      | 2        | 1      | 2      | 9        | 4 | 2                  |

| Total | 45   | 101 | 41   | 7   | 26   | 37   | 27   | 15   |
|-------|------|-----|------|-----|------|------|------|------|
| %     | 44.5 | 100 | 40.6 | 6.9 | 25.7 | 36.6 | 26.7 | 14.8 |

To visually consider the decreasing order of all the components in the PPA for E texts, we present the average data percentage in Figure 5.

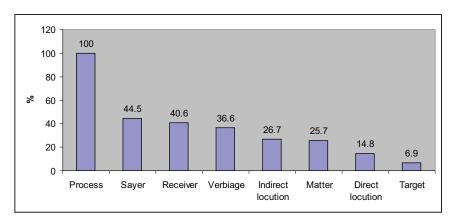


Figure 5. Average percentage data for the PPA in E texts

# Genre comparison between Q-A and E texts

I shall compare the results of our analysis in relation to the two genres.

**Table 9.** Comparison of the verbal frequency data in Q-A and E texts

|     | Clauses | Verbal<br>processes | % VP | LS group<br>(different<br>verbs) | Uses of decir | Most<br>frequent<br>verbs |
|-----|---------|---------------------|------|----------------------------------|---------------|---------------------------|
| Q-A | 427     | 108                 | 25.3 | 45                               | 20            | contar<br>pedir           |
| Е   | 900     | 102                 | 11.3 | 50                               | 23            | hablar<br>contar          |

In Table 9 we can see that the percentage of verbal processes in Q-A texts is more than twice the size of that of E texts. This may reflect the fact that the Q-A texts written in class with a limit of time have a more colloquial quality as compared to the E texts which are more thoroughly prepared and checked at home.

On the other hand, the last three columns on the right in Table 9 show a certain similarity between the two genres. Thus, the lexicosemantic group of saying, including different verbs and verbal expressions, amounts to 45 in Q-A texts and 50 in E texts; the frequency of the default verb *decir* is also similar (20 vs. 23). As for the most frequent verbs following *decir*, there is also a coincidence in one of the two verbs: *contar* "tell".

Now I shall compare the data of our participant and projection analysis of the two genres as presented in Table 10.

Table 10. Comparison of the percentage data in the PPA

| Texts | Sayer | Process | Receiver | Target | Matter | Verbiage | Indirect locution | Direct<br>locution |  |
|-------|-------|---------|----------|--------|--------|----------|-------------------|--------------------|--|
|       | %     | %       | %        | %      | %      | %        | %                 | %                  |  |
| Q-A   | 54.6  | 100     | 32.4     | 14.8   | 18.5   | 37       | 42.6              | 1.85               |  |
| E     | 44.5  | 100     | 40.6     | 6.9    | 25.7   | 36.6     | 26.7              | 14.8               |  |

At first sight it seems that with the exception of the Verbiage that has similar numbers in both type of texts, the rest of the data differ between the Q-A and E texts. Nevertheless, one can find some other similarities, for example, the Receiver and the Target are different in numerical value, but if we take into account that these two participants usually exclude each other, i.e. the verbs that may have the Target as a participant typically are not associated with the Receiver and vice versa, then we can see that the sum of the two is almost equal: 47.2 in Q-A vs. 47.5 in E texts. That means that the realization of the second (usually human) participant in the verbal clause is similar in both types of texts, the difference being in the fact that Q-A texts used more verbs that require the Target as the second participant.

Another difference between the two genres consists in a considerable increase of direct locutions in the E texts (14.8 vs. 1.85), explained by the fact that being prepared at home, the E texts have all sorts of quotations taken from different literary sources while the Q-A texts being written in class, have almost none of these quotations because of the lack of sources at hand. This lack is made up for by indirect quotations which are more numerous for Q-A texts than for E texts. Curiously enough, if we sum up the direct and indirect locutions for each genre, the difference between them is not significant: 44.45 vs. 41.5.

Finally, one may pay attention to the fact that the Sayer is expressed 10 % more often in Q-A texts than in E texts. It may be accounted for by a larger number of impersonal sentences in E texts which are a marker of a more objective and neutral style.

#### **CONCLUSIONS**

This paper reports the preliminary results of an on-going project. It explores verbal process behavior in two genres belonging to academic register: question answer and essay. First, verbal process frequency was established and I discovered that this index was considerably higher (more than double) for Q-A texts as compared to the E texts. The explanation of this phenomenon may be derived from the more informal and more colloquial character of the former in comparison with the latter. This coincides with our previous data in the analysis of these two genres (Ignatieva 2008a; 2008b).

Then I determined the lexicosemantic group of verbs of "saying" for each genre of our corpus. There were slight differences between the two groups in numbers and the composition, but in both cases the verb *decir* was singled out as the unmarked and dominant member of the group.

I identified all the participants and other important components in the verbal clauses and then applied the participant and projection analysis to the Q-A and E texts and compared the results. The two types of texts showed both differences and similarities. Thus, they were different in relation to the use of direct and indirect speech which is explained by distinct conditions of writing in both cases (one in class and the other at home). Another difference concerns the fact that E texts have more implicit Sayers that Q-A texts which, we think, is due to a more extensive use of impersonal clauses in E texts. This, in turn, underlines the more objective character of E texts as compared to Q-A texts.

It is hoped that these preliminary results can be enriched with a further exploration of our corpus since we are still in the initial phase of our project.

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# **APPENDIX**

Table 11. Verbs used only once in Q-A texts

|           | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | Total |
|-----------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|-------|
| mentir    | 1 |   |   |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| repetir   |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| reprochar |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| renegar   |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| maldecir  |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| persuadir |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| anexar    |   |   |   |   | 1 |   |   |   |   |    |    |    |    |    |    | 1     |
| felicitar |   |   |   |   | 1 |   |   |   |   |    |    |    |    |    |    | 1     |
| continuar |   |   |   |   |   | 1 |   |   |   |    |    |    |    |    |    | 1     |
| imitar    |   |   |   |   |   |   |   |   |   |    | 1  |    |    |    |    | 1     |
| llamar    |   |   |   |   |   |   |   |   |   |    | 1  |    |    |    |    | 1     |
| reconocer |   |   |   |   |   |   |   |   |   |    |    | 1  |    |    |    | 1     |
| platicar  |   |   |   |   |   |   |   |   |   |    |    | 1  |    |    |    | 1     |
| inquirir  |   |   |   |   |   |   |   |   |   |    |    | 1  |    |    |    | 1     |
| reprender |   |   |   |   |   |   |   |   |   |    |    | 1  |    |    |    | 1     |
| declarar  |   |   |   |   |   |   |   |   |   |    |    |    |    | 1  |    | 1     |
| añadir    |   |   |   |   |   |   |   |   |   |    |    |    |    |    | 1  | 1     |
| acusar    |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| invitar   |   |   | 1 |   |   |   |   |   |   |    |    |    |    |    |    | 1     |
| Total     | 1 |   | 7 |   | 2 | 1 |   |   |   |    | 2  | 4  |    | 1  | 1  | 19    |

**Table 12.** Verbs used only once in E texts

|               | 1 | 2 | 3 | 4 | 5 | 6 | 7 | Total |
|---------------|---|---|---|---|---|---|---|-------|
| narrar        | 1 |   |   |   |   |   |   | 1     |
| preguntar     |   | 1 |   |   |   |   |   | 1     |
| atribuir      |   | 1 |   |   |   |   |   | 1     |
| ordenar       |   | 1 |   |   |   |   |   | 1     |
| arremeter     |   | 1 |   |   |   |   |   | 1     |
| interaccionar |   | 1 |   |   |   |   |   | 1     |
| analizar      |   | 1 |   |   |   |   |   | 1     |
| confrontar    |   | 1 |   |   |   |   |   | 1     |
| declarar      |   | 1 |   |   |   |   |   | 1     |
| manifestar    |   |   | 1 |   |   |   |   | 1     |
| reprochar     |   |   | 1 |   |   |   |   | 1     |
| detallar      |   |   | 1 |   |   |   |   | 1     |

| insistir  |   |   | 1 |   |   |   |   | 1  |
|-----------|---|---|---|---|---|---|---|----|
| proclamar |   |   |   | 1 |   |   |   | 1  |
| persuadir |   |   |   |   | 1 |   |   | 1  |
| comparar  |   |   |   |   |   | 1 |   | 1  |
| confesar  |   |   |   |   |   | 1 |   | 1  |
| citar     |   |   |   |   |   | 1 |   | 1  |
| discutir  |   |   |   |   |   |   | 1 | 1  |
| confirmar |   |   |   |   |   |   | 1 | 1  |
| aclarar   |   |   |   |   |   |   | 1 | 1  |
| constatar |   |   |   |   |   |   | 1 | 1  |
|           | 1 | 8 | 4 | 1 | 1 | 3 | 4 | 22 |

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